

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

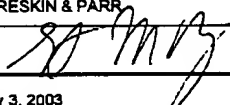
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | | |
|--|------------------------|------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/897,921 |
| | Filing Date | July 5, 2001 |
| | First Named Inventor | Walters |
| | Art Unit | 3871 |
| | Examiner Name | Hartmann, Gary S |
| Total Number of Pages in This Submission | Attorney Docket Number | 11331-4 |

| ENCLOSURES (check all that apply) | | |
|---|---|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual name | Stephen M. Beney; Registration No. 41,563 BERESKIN & PARR |
| Signature |  |
| Date | July 3, 2003 |

CERTIFICATE OF MAILING

| | |
|---|------|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | |
| Typed or printed name | |
| Signature | Date |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

OFFICIAL

FAX RECEIVED

JUL 07 2003

GROUP 3600

PTO/SB/17-01(13)

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2003

Effective 01/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$): 840.00

Complete if Known:

Application Number: 09/897,821
 Filing Date: July 5, 2000
 First Named Inventor: Kevin S. Walters
 Examiner Name: Gary S. Hartmann
 Art Unit: 3621
 Attorney Docket No.: 43351-4-SB

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ Note
☒ Deposit Account

Deposit Account Number

022095

Deposit Account Name

Bereskin & Parr

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below. ☒ Credit any overpayments.☒ Charge any additional fee(s) during the pendency of this application.☐ Charge fee(s) indicated below, except for the filing fee.

to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (S) | Fee Description | Fee Paid |
|--------------|--------------|--------------|---------------------------|----------|
| 1001 | 250 | 2001 | 375 Utility filing fee | |
| 1002 | 330 | 2002 | 165 Design filing fee | |
| 1003 | 520 | 2003 | 260 Plant filing fee | |
| 1004 | 750 | 2004 | 375 Reissue filing fee | |
| 1005 | 180 | 2005 | 80 Provisional filing fee | |
| SUBTOTAL (1) | | | | 0 |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from | Fee Paid |
|--------------------|--------------|----------|----------|
| 20 | 20 | 0 | 0 |
| Independent Claims | 5 | 0 | 0 |
| Multiple Dependent | | | |

| Large Entity | Small Entity | Fee Code (S) | Fee Description | Fee Paid |
|--------------|--------------|--------------|---|----------|
| 1202 | 18 | 2202 | 9 Claims in excess of 20 | |
| 1201 | 84 | 2201 | 42 Independent claims in excess of 3 | |
| 1203 | 280 | 2203 | 140 Multiple dependent claim, if not paid | |
| 1204 | 84 | 2204 | 42 Reissue independent claims over original patent | |
| 1205 | 18 | 2205 | 9 Reissue claims in excess of 20 and over original patent | |
| SUBTOTAL (2) | | | | 0 |

For number previously paid, if greater, for Reissue, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (S) | Fee Code (S) | Fee Description | Fee Paid |
|---|--------------|--|----------|
| 1051 150 | 2051 85 | Surcharge / late filing fee or delin | |
| 1052 80 | 2052 25 | Surcharge / late provisional filing fee or cover sheet | |
| 1053 130 | 1053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920 | 1804 920 | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840 | 1805 1,840 | Requesting publication of SIR after Examiner action | |
| 2251 110 | 2251 55 | Extension for reply within first month | |
| 2252 410 | 2252 205 | Extension for reply within second month | |
| 2253 930 | 2253 465 | Extension for reply within third month | 465.00 |
| 2254 1,450 | 2254 725 | Extension for reply within fourth month | |
| 2255 1,970 | 2255 985 | Extension for reply within fifth month | |
| 2401 320 | 2401 160 | Notice of Appeal | |
| 2402 320 | 2402 160 | Filing a brief in support of an appeal | |
| 2403 320 | 2403 160 | Request for oral hearing | |
| 1491 1,510 | 1491 1,510 | Petition to institute a public use proceeding | |
| 2432 55 | 2432 55 | Petition to revive - unavoidable | |
| 2463 650 | 2463 650 | Petition to revive - unintentional | |
| 2501 250 | 2501 250 | Utility issue fee (or reissue) | |
| 2502 235 | 2502 235 | Design issue fee | |
| 2503 630 | 2503 315 | Plant issue fee | |
| 1480 130 | 1480 130 | Petition to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(g) | |
| 1808 180 | 1808 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 750 | 2809 375 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 750 | 2810 375 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 750 | 2851 375 | Request for Continued Examination (RCE) | 375.00 |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |
| Other fee (specify) | | | |
| Reduced by Basic Filing Fee Paid, if applicable | | | |
| SUBTOTAL (3) | | | |

840.00

SUBMITTED BY:

Name (Print Type): Stephen M. Baney

Registration No.: 41,563

Complete if Applicable:

Telephone: (416) 364-7311

Signature:

Date:

March 18, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

#10/C

7/7/03

PTO/SB/97 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

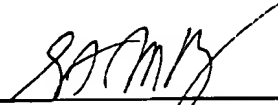
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile
transmitted to the Patent and Trademark Office

on July 3, 2003.

Date



Signature

Stephen M. Beney Reg. No. 41,563

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this
certificate must identify each submitted paper.

Transmittal Form - 1 page

Response - 7 pages

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any
comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office,
Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents,
Washington, DC 20231.

OFFICIAL
FAX RECEIVED
JUL 07 2003
GROUP 3600